



# Best-in-class service, superior platforms, sustainable growth

CMC is a leading global provider of online trading with a comprehensive retail, professional and institutional offering.

# Disclaimer

## Important information

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*Our purpose is to constantly  
maintain a superior and  
unrivalled technology  
experience for our clients.*

Lord Cruddas, Founder and CEO

# Global Footprint

CMC's global hubs are in Sydney and London.





# Regulatory Licenses



**UK**  
Financial Conduct  
Authority (FCA)



**Germany**  
Federal Financial  
Supervisory  
Authority (BaFin)



**Middle East  
(Dubai)**  
Dubai Financial  
Services Authority  
(DFSA)



**Australia**  
Australian  
Securities &  
Investments  
Commission (ASIC)



**New Zealand**  
Financial Markets  
Authority (FMA)



**Canada**  
Investment  
Industry Regulatory  
Organization of  
Canada (IIROC)



**Singapore**  
Monetary Authority  
of Singapore (MAS)





# Trusted and Global Partners

## Custodial models available

CMC has partnered with one of the world's largest custodians – BNP Paribas – to facilitate custodial settlement across 15 international markets. Your investments are kept in a Custodian Account through BNP Paribas and/or their sub-custodians.

Funds in your CMC Invest account are held in a Trust Account with JPMorgan, these are held separately from CMC funds.

Morgan Stanley is the execution and clearing broker.





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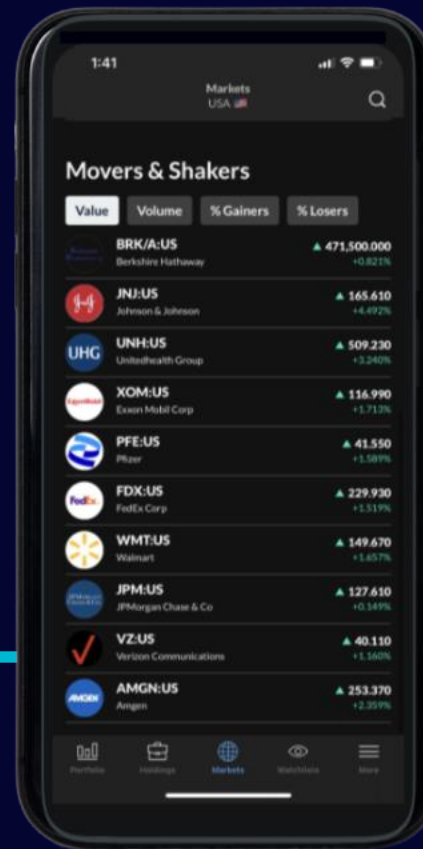
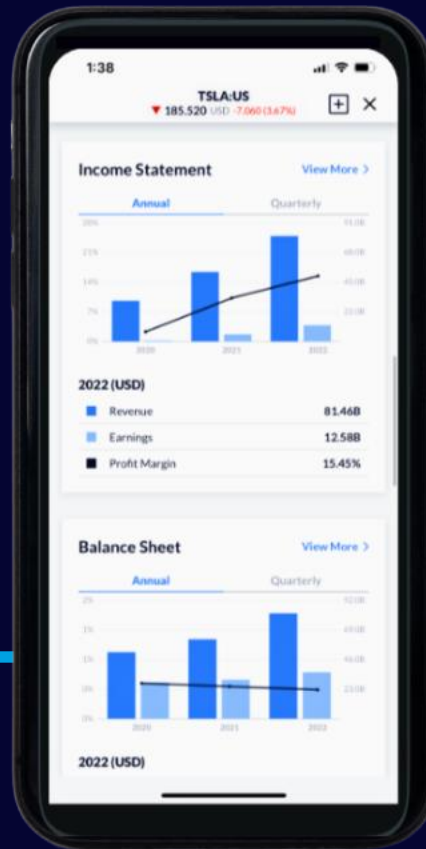
# KYC, AML and CTF: CMC is committed to providing the best customer experience without compromising on Governance

We have simplified the application process

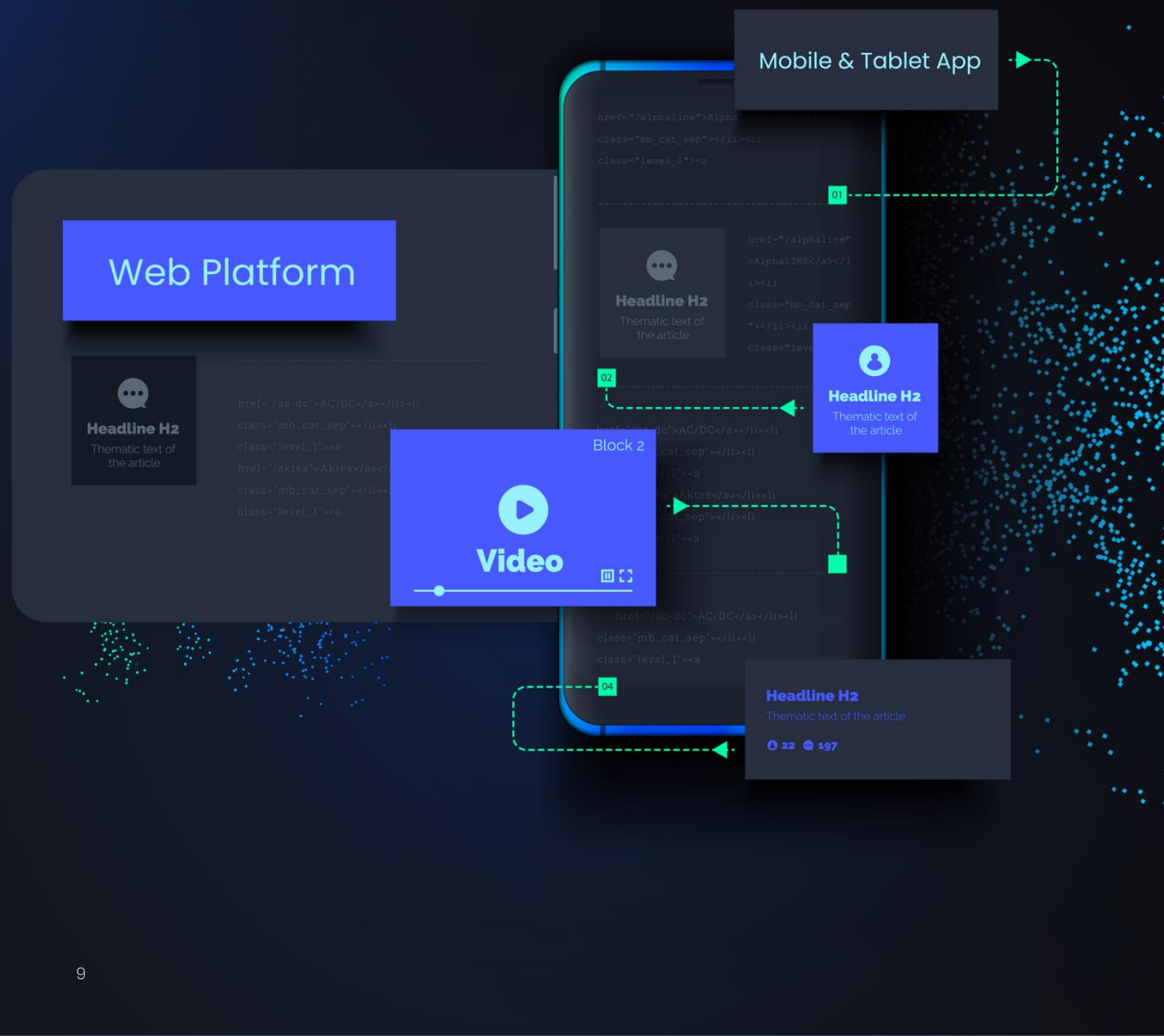
- Simplified modular design
- Auto validation of fields
- Biometrics and Onboarding
- Access to chat bot and Client Services
- Easily rebranded for white labels
- Mobile friendly

# All-in-one Investment App

Invest in a world of asset classes including stocks and ETFs  
— all through one powerful app.







CMC is committed to have an evolution pathway for web, enhancing and modernizing

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# Contact our institutional team today



[partners@cmcinvest.sg](mailto:partners@cmcinvest.sg)



+65 6559 6000



[www.cmcinvest.sg](http://www.cmcinvest.sg)

**CMIC**  
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